

Departmental Summary	2002-03 Actual	2003-04 Actual	2004-05 Actual	2005-06 Actual	2006-07 Request	2006-07 Adopted	Increase/ (Decrease)
Departmental Revenues							
Attributable to Department	\$6,322,877	\$5,551,361	\$4,646,881	\$5,040,114	\$5,234,740	\$5,406,945	\$366,831
General Fund Support	2,348,879	2,249,093	2,693,753	2,834,067	3,354,842	3,033,690	199,623
(To)/From Non-GF Fund Balance	0	0	0	0	0	0	0
Grand Total Revenues	\$8,671,757	\$7,800,454	\$7,340,634	\$7,874,181	\$8,589,582	\$8,440,635	\$566,454
Expenditures							
Salaries & Benefits	\$5,385,332	\$5,445,853	\$5,770,638	\$6,062,076	\$6,679,639	\$6,530,692	\$468,616
Supplies & Services	1,678,719	1,215,234	1,315,812	1,565,450	1,626,826	1,626,826	61,376
Other Charges	237,950	220,671	178,820	174,197	273,717	273,717	99,520
Fixed Assets	2,726	7,652	0	72,458	9,400	9,400	(63,058)
Expense Transfer	(32,279)	(20,815)	0	0	0	0	0
Total Expenditures	\$7,272,448	\$6,868,595	\$7,265,270	\$7,874,181	\$8,589,582	\$8,440,635	\$566,454
Departmental Staffing	120.90	119.90	131.16	126.11	124.27	124.27	(1.84)

The Probation Department includes the following budget groupings:

Probation Court Investigations & Field Services

- 1100 202 Juvenile Justice Crime Prevention Act
- 1100 235 Probation
- 1100 245 Adult Drug Court
- 1100 256 Juvenile Drug Court
- 1100 257 Title IV-E Waiver
- 1100 258 Substance Abuse Treatment
- 1100 285 Probation Environmental Preservation Project

Juvenile Detention Services

- 1100 234 Juvenile Hall
- 1100 254 Regional Facility

In addition, the following budget units which are no longer in use are included in the summary table for past years:

- 1100 232 Anti-Drug Abuse Enforcement Program, through FY 2005-06
- 1100 237 Probation System of Care, through FY 2003-04
- 1100 239 Probation Mentally Ill Offender Crime Reduction, through FY 2003-04

Mission

As an agent of the Court we reduce the impact of crime in our communities through investigation, prevention, supervision, collaboration, detention, and victim restoration.

the Court and community. In a manner consistent with our mission we must build and sustain the organizational knowledge, skills, beliefs systems, fiscal mechanisms and infrastructure necessary to respond to the changing needs of the Department and the community.

Goals

1. Build Organizational Capacity: The Humboldt County Probation Department provides a variety of services to

2. Develop Partnerships with Other Disciplines and the Community: Probation occupies a unique and central position in the criminal and juvenile justice systems, providing linkages between many diverse stakeholders. The development of formal legal, operational, and

fiscal partnerships is critical to enhancing the Department's ability to meet our mission.

expand knowledge, skills, competency and experience of staff in all classifications and at all levels of the Department.

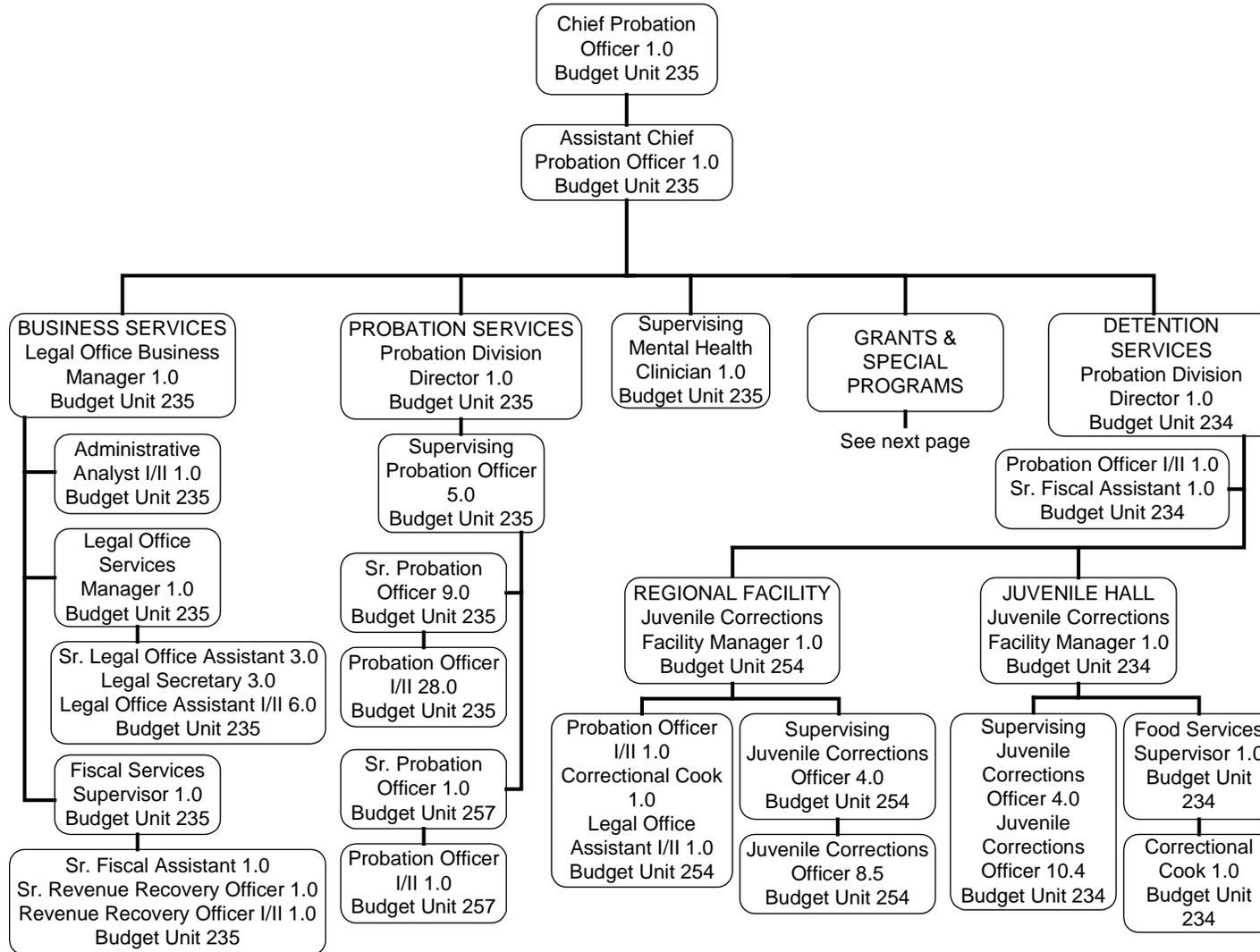
- 3. Staff Development: In order to maximize our ability to meet our mission we must invest in opportunities to

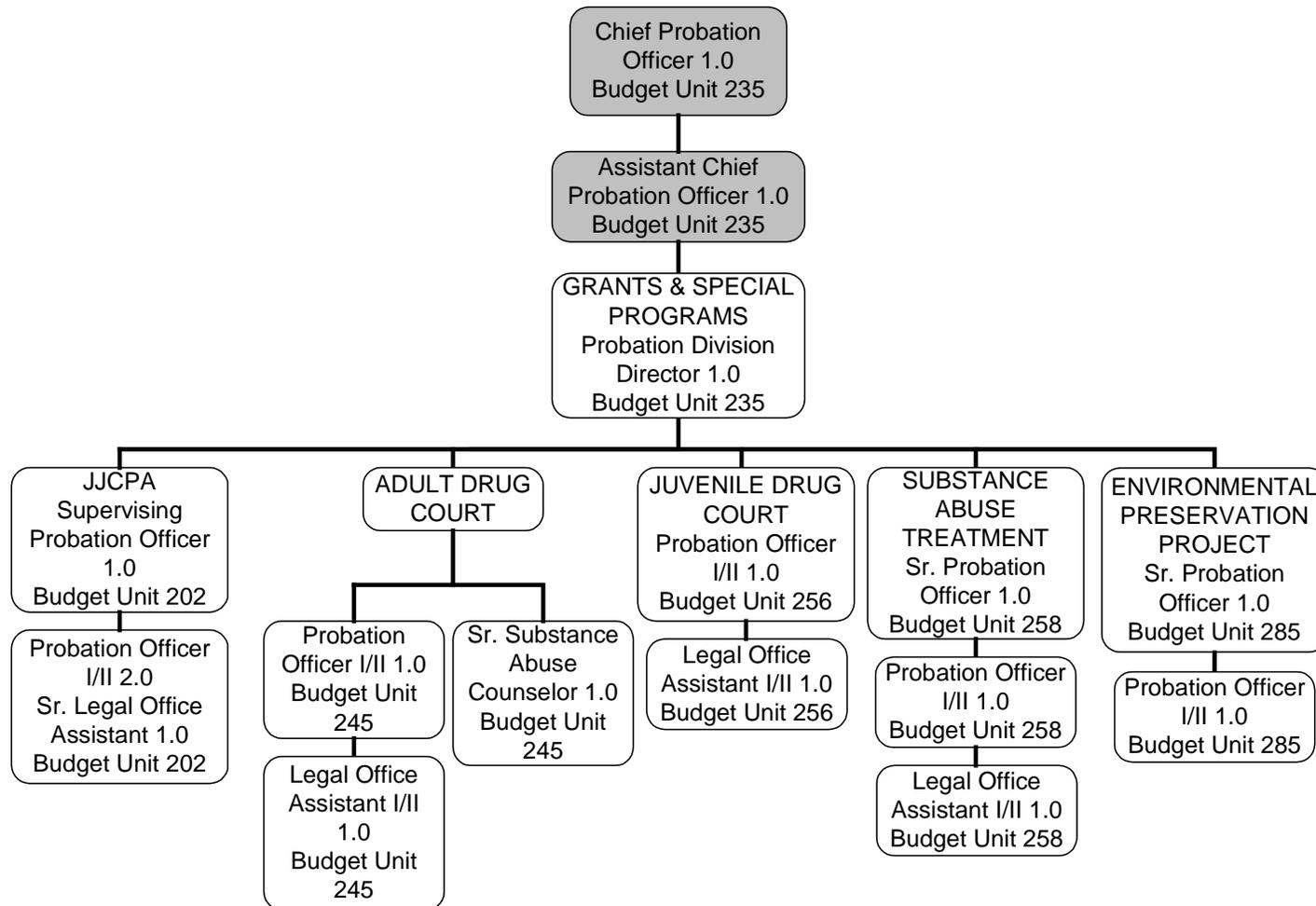
Performance Measures

1. <i>Description of Performance Measure:</i> Amount of victim restitution collected		
<i>FY 2004-05 Actual</i>	<i>FY 2005-06 Estimate</i>	<i>FY 2006-07 Projected</i>
\$91,233	\$119,400	\$130,000
<i>Describe why this measure is important and/or what it tells us about the performance of this budget unit:</i> A goal of the Department is to provide for victim restoration through the collection of restitution, as ordered by the Court.		
2. <i>Description of Performance Measure:</i> Juvenile hall will maintain an annual average daily populatiion (ADP) below or at its rated capacity (26), while maintaining a high successful completion rate for those juvenile offenders placed on detention alternative programs		
<i>FY 2004-05 Actual</i>	<i>FY 2005-06 Estimate</i>	<i>FY 2006-07 Projected</i>
Juv. Hall ADP:23.42 Home Supervision success. comp. rate: 74%	Juv. Hall ADP:26; Home Supervision success. comp. rate: 75%.	Juv. Hall ADP:26; Home Supervision success. comp. rate: 77%
<i>Describe why this measure is important and/or what it tells us about the performance of this budget unit:</i> Public safety is maintained while using secure detention for only the most serious and high risk juvenile offenders.		
3. <i>Description of Performance Measure:</i> On-time completion/submission rate for adult and juvenile court investigations and reports		
<i>FY 2004-05 Actual</i>	<i>FY 2005-06 Estimate</i>	<i>FY 2006-07 Projected</i>
N/A	80%	90%

<i>Describe why this measure is important and/or what it tells us about the performance of this budget unit:</i> The timely completion/submission of investigations and reports to the Courts is a measure of the efficiency and effectiveness of services delivered, while ensuring proper due process for offenders and victims alike.		
4. <i>Description of Performance Measure:</i> Rate of successful completion of term of probation for adult offenders		
<i>FY 2004-05 Actual</i>	<i>FY 2005-06 Estimate</i>	<i>FY 2006-07 Projected</i>
56%	55%	60%
<i>Describe why this measure is important and/or what it tells us about the performance of this budget unit:</i> The ability of an offender to satisfactorily complete his/her term of probation is directly related to the long-term rehabilitation of the client and the reduced likelihood that he/she will reoffend.		
5. <i>Description of Performance Measure:</i> Rate of recidivism, as defined by the adjudication/conviction for a new offense, for adult and juvenile probationers		
<i>FY 2004-05 Actual</i>	<i>FY 2005-06 Estimate</i>	<i>FY 2006-07 Projected</i>
N/A	30%	Less than 30%
<i>Describe why this measure is important and/or what it tells us about the performance of this budget unit:</i> Recidivism is a direct indicator of the effectiveness of probation services, and a gauge of probation's impact upon crime in the community.		

Organizational Chart:



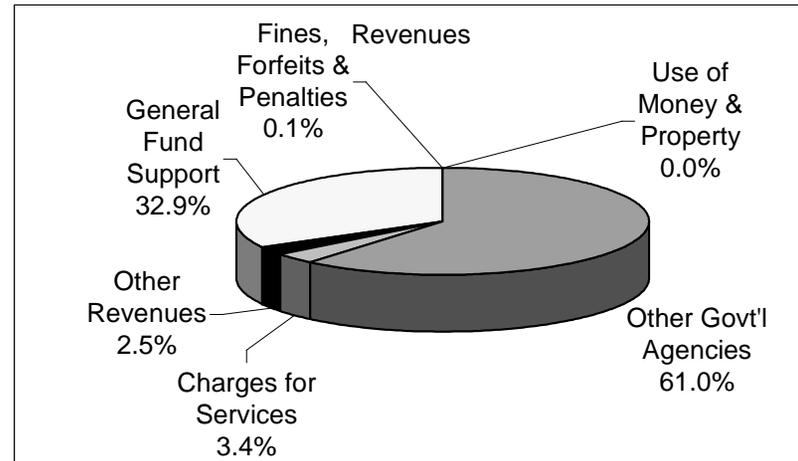
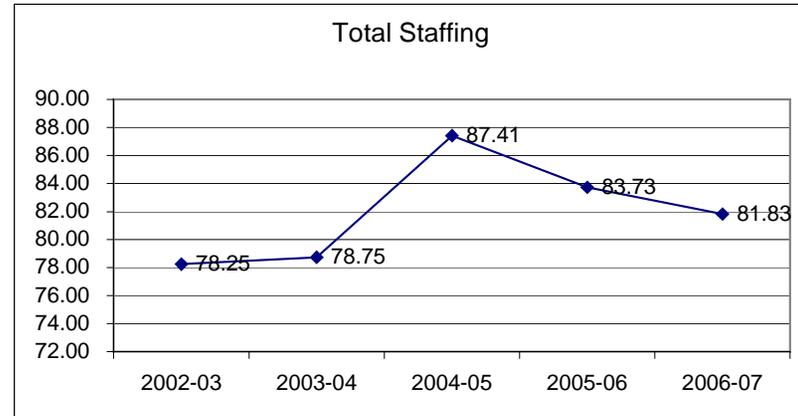


1100 - General Fund	2002-03 Actual	2003-04 Actual	2004-05 Actual	2005-06 Actual	2006-07 Request	2006-07 Adopted	Increase/ (Decrease)
Revenues							
Fines, Forfeits & Penalties	\$6,275	\$6,482	\$6,053	\$3,582	\$6,100	\$6,100	\$2,518
Use of Money & Property	0	0	0	0	700	700	700
Other Gov't Agencies	3,212,810	2,670,817	2,991,911	3,224,024	3,605,146	3,605,146	381,122
Charges for Services	97,592	119,027	150,481	205,454	203,680	203,680	(1,774)
Other Revenues	205,684	94,718	128,929	145,989	149,282	149,282	3,293
General Fund Support	1,089,740	1,420,934	1,630,659	1,693,799	2,096,841	1,947,894	254,095
Total Revenues	\$4,612,102	\$4,311,978	\$4,908,033	\$5,272,848	\$6,061,749	\$5,912,802	\$639,954
Expenditures							
Salaries & Benefits	\$3,580,115	\$3,536,722	\$3,860,207	\$4,026,375	\$4,657,616	\$4,508,669	\$482,294
Supplies & Services	885,657	659,807	881,571	1,015,483	1,134,430	1,134,430	118,947
Other Charges	178,609	136,265	166,255	158,532	260,303	260,303	101,771
Fixed Assets	0	0	0	72,458	9,400	9,400	(63,058)
Expense Transfer	(32,279)	(20,815)	0	0	0	0	0
Total Expenditures	\$4,612,102	\$4,311,978	\$4,908,033	\$5,272,848	\$6,061,749	\$5,912,802	\$639,954
Staffing							
Allocated Positions	78.25	78.75	84.90	81.50	80.50	80.50	(1.00)
Temporary (FTE)	N/A	N/A	2.51	2.23	1.33	1.33	(0.90)
Total Staffing	78.25	78.75	87.41	83.73	81.83	81.83	(1.90)

Purpose

Numerous code sections in the Civil, Government, Penal, Welfare and Institutions, and Civil Procedure codes mandate or describe probation services. Penal Code Section 1202.7 reads in part, “the legislature finds and declares that the provision of probation services is an essential element in the administration of Criminal Justice.” Section 1202.7 of the Penal Code further outlines the purpose of probation services by stating, “The safety of the public, which shall be the primary goal through the enforcement of court ordered conditions of probation; the nature of the offense; the interests of justice; including punishment; reintegration of the offender into the community, and enforcement of conditions of probation, the loss to the victim, and the needs of the defendant shall be the primary consideration in the grant of probation.”

The essential function of probation services is to provide comprehensive and timely investigations/reports to the Court and to effectively supervise both juvenile and adult convicted offenders to reduce the rate of re-offending.



Major Budget Changes

Salaries & Employee Benefits

- \$233,152 General salary and benefit adjustment.
- (\$67,460) Supervising Probation Officer position held vacant.
- \$46,158 Increase in salaries due to funding of previously vacant and unfunded Probation Officer.
- (\$22,168) Decrease in extra help funding.

Services & Supplies

- \$43,411 Increase in travel expense due to fuel and Motor Pool costs.
- \$28,540 New contracts with Native American groups.
- \$25,000 Increase in drug testing costs.
- \$20,000 Increase in Standards and Training for Corrections training expense, covered by grant revenue.

Other Charges

- (\$119,521) Decrease in expenditures for Healthy Returns Initiative grant.

Fixed Assets

- \$9,400 Four computers

Revenues

- \$132,484 Increase in Proposition 172 sales tax revenue, projected based on recent trends.
- (\$111,659) Elimination of Federal funding under Temporary Aid to Needy Families (TANF).
- \$83,539 New Juvenile Accountability Block Grant.
- (\$64,725) Elimination of Juvenile Drug Court grant.
- (\$57,578) Decrease in Title IV-E revenue for Probation Foster Care.
- (\$39,056) Decrease in Healthy Returns Initiative funding.
- (\$33,861) Decrease in Anti-Drug Abuse Enforcement grant.
- (\$31,000) Decrease in Targeted Case Management funding.

- (\$29,031) Decrease in Federal grant for Adult Drug Court.
- \$23,883 Increase in Juvenile Justice Crime Prevention Act funding.

Program Discussion

Core services for the Probation Department include:

- ❖ **Adult Pre-Sentence Investigation Services:** Mandated service providing the courts with investigation reports and recommendations for sentencing sanctions in accordance with the law for all adults convicted of a felony, and for misdemeanor convictions as referred by the court.
- ❖ **Juvenile Intake and Investigation Services:** The Welfare and Institution Code (WIC) requires that a probation officer investigate law enforcement referrals, provide diversion/informal services where appropriate, or request the District Attorney to file a delinquency petition with the Juvenile Court. The probation officer interviews the minor, family and victims; gathers school, health, mental health, and social services information; completes an assessment; and recommends a case plan for the minor and the family.

- ❖ **Adult and Juvenile Field Supervision:** Convicted offenders placed on probation by the Court are placed under the supervision of an assigned probation officer. The probation officer determines the level and type of supervision, consistent with the court ordered conditions of probation. Probation field supervision provides for public safety and the rehabilitation of offenders through the enforcement of conditions of probation and the provision of case management services. The Probation Department is also responsible for several specialized field supervision programs for both adult and juvenile offenders.

Other ancillary services include:

- *Community Service Work Programs:* The Probation Department runs both adult and juvenile community service programs. These programs provide an alternative sanction for the Court and serve as a means of restitution/retribution to the community. The Adult Community Service Program is self-funded through fees paid by offenders. The Juvenile program is funded through the State Juvenile Justice Crime Prevention Act (JJCPA).
- *Conservatorship, Guardianship, and Step-Parent Adoption Investigations:* These investigations are completed by the Probation Department upon the referral/appointment of cases through the Probate and Family Court, respectively.
- *Revenue Recovery Services:* The Penal Code, Welfare and Institutions Code, and Family Code allow for the

recommendation and setting of fines and fees at the time of sentencing or disposition. Probation revenue recovery staff conducts family financial investigations to determine ability to pay for services and fines and fees. Probation Officers monitor and enforce payments of fines and fees.

- *Fiscal / Administrative Support Services:* Administrative support services personnel are responsible for the processing of court related documents, accounting/tracking of revenues and expenditures, budget preparation and monitoring, the preparation of employee payroll, and the processing of time studies and associated Federal and State administrative claims. Administrative claiming for Federal/State revenue has become increasingly critical during the past several years in light of recurrent County General Fund budget reductions and the on-going reliance upon alternative funding streams.

The Probation Court Investigations and Field Services Division is funded through the County General Fund and a combination of State and Federal funding offsets which assist in reducing the impact of departmental operations on the General Fund.

During the course of FY 2005-06 the Probation Department continued to work with other entities to maintain/expand sustainable Federal/State revenue streams to reduce County General Fund costs and improve the delivery of programs and services to the Courts and the community. The Department also continues to pursue grant funding when appropriate and consistent with the Department mission. A successful example

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of this would be the receipt of the Juvenile Accountability Block Grants set-aside funding. This one-time categorical grant funding for FY 2006-07 in the amount of \$101,039 will allow the Department to augment its Standards & Training for Corrections (STC) training for Juvenile Corrections Officers and Deputy Probation Officers, upgrade the juvenile records management system, enhance the ability to perform comprehensive kinship searches for juveniles requiring placement out-of-home, and enhance Native American mental health and transition services for detained youth.

In February 2006, the Board of Supervisors, as part of the County's mid-year budget adjustment, approved the restoration of one of four Deputy Probation Officer positions which were being held vacant and unfunded. The restored position will be dedicated to adult field services. For FY 2006-07, one additional Deputy Probation Officer position is proposed for funding. This position will also be in the adult field services area.

Continuing reductions in Federal grant funding supporting the Anti-Drug Abuse (ADA) and Adult Drug Court (ADC) programs have resulted in the need to consolidate program funding in order to sustain services and activities in the Adult Drug Court. This action will result closure of the ADA program. The ADA caseload will be redistributed across other adult field services programs, thereby impacting officer caseload capacity.

The Juvenile Drug Court (JDC) program is funded by a Federal multi-year grant that will be ending its final year of funding as of March 31, 2007. Alternative funding streams are being

explored. Should sufficient funding not be identified and secured, closure of the program will need to occur.

Probation Services, budget unit 235, will continue to hold four positions vacant and unfunded. This includes two Legal Secretaries and two Deputy Probation Officers. The frozen probation officer positions are dedicated to Juvenile Intake and Adult Intake services. This will result in an impact on services to the Court and reduced ability to supervise offenders in the community.

Pending threats to non-General Fund probation funding could impact how the Department distributes its General Fund allocation in subsequent fiscal years. The most significant examples are Federal regulatory and legislative changes with regard to eligibility criteria for the claiming of Title IV-E and Targeted Case Management (TCM) services and activities. Although the direct impacts are still being assessed, the potential loss of Federal revenue could be as much as \$200,000 annually. The State Departments of Health and Social Services have not yet determined how, or if, these language changes will impact California's claiming for TCM and Title IV-E; therefore, we are cautiously optimistic regarding revenue projections under these programs.

Legislation is currently pending at the Federal level regarding renewed funding of the Secure Rural Schools and Community Self-Determination Act. Funding is expected to be reduced if the legislation is renewed, but the exact level of funding proposed is unknown. Failure of this bill (HR 517), or severe cuts to the funding, will impact the Probation Environmental

Preservation Project and may require program closure and redistribution of juvenile field supervision cases.

Existing funding for the Substance Abuse Crime Prevention Act (SACPA / Prop 36) program was set to sunset June 30, 2006. The Governor included continued funding at the same level for an additional year as part of the proposed 2006 State budget. This funding passed as Senate Bill 1137. Although certain aspects of that legislation, including use of "flash incarceration" for program violators, are being challenged in court, funding is secure through FY 2006-07. Currently the local probation SACPA program supervises approximately 120 drug involved adult offenders. Without the extension of SACPA funding, the requirements of the original SACPA legislation would have become an unfunded service mandate for County Probation and Health & Human Services/Alcohol and Other Drug programs.

Restored funding for Juvenile Justice and Crime Prevention Act (JJCPA) and Juvenile Probation and Camps (JPCF) funding was included as part of the State budget for FY 2006-07. It is essential to the Probation Department that these critical funding streams be sustained on an annual basis. Without full JJCPA and JPCF, essential funding for sustaining probation diversion activities and services at the Juvenile Hall and Northern California Regional Facility New Horizons Program would be lost.

Funding under the Drug Court Partnership Act and Comprehensive Drug Court Implementation Act is included in the Governor's proposed 2006 budget. These two sources account for just under \$185,000 of funding for adult and

juvenile drug court programs in Humboldt County. Although continued funding is currently supported in the legislature, the dollars have historically been diverted from the California Department of Corrections and Rehabilitation (CDCR) budget and are under constant pressure of being reclaimed by the CDCR.

1100-202 Juvenile Justice Crime Prevention Act

The Juvenile Justice Crime Prevention Act (JJCPA) program was established legislatively under the auspices of the State Crime Prevention Act 2000 and is funded through a State general fund appropriation. The Wraparound Humboldt program provides early intervention and diversion services for first time and low-level youthful offenders. Through the enhanced use of intermediate sanctions the program is designed to support successful processes that will lead to increased accountability, a reduction in juvenile delinquency, and ultimately increased public safety.

Program screening is consistent with public safety and wraparound approach models by using a strength-based risk/needs assessment. Those youth and families identified with minimal risk factors are provided with basic delinquency prevention services focusing on clear expectations for behavior and accountability. Youth and families indicating more significant risk factors and amenability to services are referred for family facilitation services and/or to the Probation System of Care program for specialty mental health services, as appropriate.

The total budget for FY 2006-07 for the JJCPA program is \$294,274, an increase of \$33,146 from FY 2005-06.

1100-232 Anti-Drug Abuse Enforcement

The Anti-Drug Abuse Enforcement (ADA) program has been a successful collaborative between Probation, the District Attorney and law enforcement for over 15 years. This program is funded under the Federal Edward Byrne Memorial Block Grant, and managed by the District Attorney's office locally. Funding for Federal FY 2006 has been projected by the Office of Emergency Services at a 40 percent reduction. The Probation component of the program cannot be sustained at this level of funding. Therefore, the budget unit will be discontinued and the Senior Probation Officer position attached to this program will be transferred to a vacant position in Probation Services budget unit 235. The fifty cases currently supervised under the program will need to be absorbed by other existing adult field supervision unit caseloads.

The District Attorney's office will allow Probation to apply the remaining funding under a different Byrne program area, providing supervision to cases generated by the Drug Task Force under this program. Probation's Adult Drug Court (ADC) is an allowable program area that could meet that objective. The ADC program sustained a 50 percent cut this year to the Federal Justice Assistance Grant, which is also a Byrne Memorial Fund-based program. Due to the fiscal inability to sustain both programs, the Anti-Drug Abuse Enforcement monies will be applied to the Adult Drug Court program (budget unit 245) in support of the Senior Substance Abuse Counselor position.

1100-235 Probation

This budget unit funds the major operations of the Probation Department and includes a wide range of services as detailed below.

ADULT SERVICES:

Adult Intake & Investigations

Following a conviction (usually of a felony) by an adult offender, the Adult Intake probation officer investigates and prepares a pre-sentence report for the court regarding circumstances surrounding the crime, the history and prior record of the defendant. A recommendation to either grant or deny probation is made by the officer. If the recommendation is to grant probation, conditions of probation are recommended addressing the offender's criminogenic needs.

Adult Supervision/Field Services

Adult Field probation officers supervise adult offenders—mostly felons—who have been granted probation in lieu of prison. The officers enforce court orders, monitor residence, employment, restitution payments and treatment programs. They investigate violations, initiate revocations and make recommendations to address compliance. Specialized caseloads have been developed to work with high-risk or repeat offenders convicted of specific types of crimes including sex offenses, drug offenses, DUI offenses, and domestic violence cases.

Due to the almost 1,550 felons on supervised probation the Department has been forced to develop a system of “banked” cases in order to maintain manageable caseload sizes

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(approximately 80-90 per officer). A risk assessment determines the level of supervision, with only the highest-risk offenders being actively supervised. Approximately 20 to 30 percent of all field supervision cases are “banked” and are generally monitored by written/verbal self-reporting and only intermittently directly supervised for compliance with conditions of probation. For the majority of banked probationers, services are essentially reactive to misbehavior rather than proactive. Supervision at appropriate risk assessment level supports a maximum caseload of 40-50 probationers. At caseloads over this size, true intensive supervision does not take place.

Courtesy Supervision

Interstate courtesy supervision requests are investigated and accepted pursuant to the Interstate Compact Agreement. Courtesy supervision requests from within California are accepted and classified according to local procedure.

JUVENILE SERVICES:

Juvenile Diversion

Juvenile Diversion officers investigate first-time and less serious law enforcement referrals, providing informal, short-term intervention, risk assessment, information and referral.

Juvenile Intake & Investigations

Juvenile Intake officers investigate all law enforcement referrals and determine which offenses must be filed with the District Attorney. Court investigative services include the preparation of dispositional reports regarding circumstances of an offense, the offender's background and criminal history,

victims' statements, and a case plan for proper disposition of the case.

Juvenile Field

Juvenile Field officers supervise felony and misdemeanor juvenile wards of the Court. They monitor case plans including school attendance and behavior, ensure compliance with Court orders, provide referrals to various community resources for the youth and family and assist the youth with a multitude of issues including substance abuse, mental health, gang involvement and family violence. Officers strive to strengthen the family unit whenever possible and stress accountability through community corrections partnerships with schools, law enforcement officials and health and human service agencies.

Juvenile Home Supervision

Juvenile Home Supervision officers provide court-ordered intensive supervision (with or without electronic monitoring) of juveniles pending court hearings or in lieu of detention in Juvenile Hall.

Juvenile Placement Services

Probation is responsible for certain activities for those minors ordered by the Court to be placed out of home. The Juvenile Placement Officer arranges placement of wards in residential treatment programs and/or foster homes, monitors their progress, and develops case plans for their return home.

The total budget for FY 2006-07 for Probation Services is \$4,684,164.

1100-245 Adult Drug Court

The Adult Drug Court program is a successful collaborative therapeutic court program focusing on adult felony probationers who have known alcohol/drug involvement. Offenders are referred to treatment and other social services within the community, which promote a clean, sober, productive and crime-free lifestyle. Regular monitoring and drug testing by the treatment team support public safety objectives, and are reinforced by the use of incentives and graduated sanctions. Successful cases significantly reduce local and state costs by reducing crime, incarceration, and health and social service impacts of untreated addictions.

Funding for Adult Drug Court continues to be a blend of State and Federal grants and multiple revenue sources. The Federal Justice Assistance Grant, an Edward Byrne Memorial Fund based program which has supported the Senior Substance Abuse Counselor position, has been reduced by 50 percent over last year's amount to only \$11,788. Byrne funds from the Anti-Drug Abuse grant program (budget unit 232) are being shifted to Adult Drug Court as a 40 percent reduction in Byrne funds made sustaining both programs impossible. This shift makes the drug court program whole for another year, but does not promise much support beyond that. Further, changes to Federal Medicaid language may impact Medi-Cal Targeted Case Management claiming for eligible program services. In order to sustain this valuable life- and money-saving program, different revenue sources will need to be identified and secured over the next year.

The total budget for FY 2006-07 for the Adult Drug Court program is \$228,669, an increase of \$7,793 from its prior year level of \$220,876 in FY 2005-06.

1100-256 Juvenile Drug Court

The Juvenile Drug Court is a collaborative therapeutic court program focusing on juvenile wards of the Court ages 12 to 17 with known alcohol/drug involvement. Participants and families are provided mental health services and are referred to drug education, treatment and other services within the community. The goal of the program is to encourage the development of drug-avoidance skills in youths while reinforcing involvement in pro-social school, community and work-related activities with the hope of replacing delinquent behaviors.

State and Federal grants have wholly funded the program to date. The Federal Title II grant, which is the primary grant supporting personnel and operating expenses, will end its final year of funding as of March 31, 2007, leaving a quarter of the year unfunded. The Department has been informed that the likelihood of receiving another three-year cycle of Title II funding for this program is poor, as the intent of Title II is to provide 'seed money'. Accordingly, the Department has submitted two grant proposals to continue providing similar services through a new Mental Health Court. Full funding for the Comprehensive Drug Court Implementation grant supporting treatment-related services was included in the State budget and is on a year-to-year cycle in the State budget process. Should sufficient funding not be identified and secured, personnel will need to be absorbed elsewhere within

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the main probation budget unit 235, if possible, or a layoff process will need to occur.

The total budget for FY 2006-07 for the Juvenile Drug Court program is \$207,644, a decrease of \$64,625 from its prior year level of \$272,269 in FY 2005-06. This is due to program grant funding ending March 31, 2007.

1100-257 Title IV-E Waiver

Senate Bill 163 and a federal waiver initiative allow counties to seek a waiver from State and Federal regulations that govern the use of State and County foster care funds to provide individualized Wraparound services to children and their families. The children must have been or must be at risk of being placed in group homes providing high levels of care. Humboldt County sought and received this authorization to become one of the pilot counties through the waiver process and this budget represents Probation's participation with the Department of Health & Human Services in the local plan. The Humboldt County Plan previously approved by the Board of Supervisors involves the utilization of 15 "service allocation slots" which can be used to serve more than one child on a successive basis. Each "slot" represents a specific amount of State foster care and County funds available to pay for an individualized Wraparound service package for an eligible minor. The service delivery structure utilizes the family unity model for case management and is intended to maintain children in their families, schools and communities, and prevent the need for out-of-home care. All of the services and coordination of the plan and its implementation are managed by the County Family Intervention Team.

This program is designed as revenue/cost neutral to the County. In addition, the County can pool the funds available for all of the service allocation slots providing greater flexibility in meeting the needs of high-risk children and families.

The total budget for FY 2006-07 for the Title IV-E Waiver program is \$154,365, an increase of \$3,630 from FY 2005-06.

1100-258 Substance Abuse Treatment

The Probation Substance Abuse Crime Prevention Act (SACPA) program is a collaborative formed and mandated under the law in response to the November 2000 voter-passed initiative, Proposition 36. The intent of the law is to provide treatment and monitoring within the community to qualifying non-violent offenders, in lieu of incarceration, saving jail and prison costs through successful treatment. The Probation component of the program provides court services and supervision of felony probationers sentenced under these laws.

A majority of the costs associated with the Probation component have been funded out of legislated funding for the program under SACPA and Substance Abuse Testing and Treatment Accountability Act (SB 223 of 2001) legislation. As noted earlier, funding for the program was continued for one year under Senate Bill 1137. Other revenues supporting this program have included Medi-Cal Targeted Case Management (TCM) and client fees for drug testing.

Disturbing legislation recently passed by Congress and signed by the President as part of the 2006 Federal Budget Reconciliation Act makes a number of changes in the Federal ***County of Humboldt 2006-07 Budget***

Medicaid program by amending statutory definitions and establishing new standards for Medicaid case management services. The legislation has the potential to restrict the Probation Department's participation in the TCM program; therefore, projections regarding revenues under this program are guarded at this time.

The total budget for FY 2006-07 for the SACPA program is \$173,493, an increase of \$7,332 from its prior year level of \$166,161 in FY 2005-06.

1100-285 Probation Environmental Preservation Project

The Probation Environmental Preservation Project (PEPP) is a collaborative program funded under HR 2389 "safety net" funds made available to the County from National Forest timber receipts. The program is authorized under Title III, Category 4, Forest Related Education Opportunities guidelines. The program provides supervision of juvenile justice-involved youth while engaging them in earth science-related curriculum and activities in a Community School setting. The intent of the program is to prepare participants for transition to institutions of higher learning or forest-related employment, and thus to reduce the likelihood of further penetration of the justice system.

Federal funding for the program is slated to end October 30, 2006, but legislation (HR 517) is currently pending to renew funding for the program at a reduced level for another six years. Continued support for the Federal program has been indicated in the President's budget, though at a reduced level of

funding. It is unknown at this time how much money would be available to the County should the legislation be approved.

The total budget for FY 2006-07 for the PEPP program is \$170,193, an increase of \$5,470 from its prior year level of \$164,723 in FY 2005-06.

Unfunded Supplemental/Restoration Funding Requests

The potential closure of several grant programs in the coming year could significantly impact the caseload distribution within probation field services. As such, supplemental budget requests were made to restore 3.0 FTE Deputy Probation Officer positions in order to provide for caseload relief across both juvenile and adult services. One of those restorations, in adult field services, is included in the proposed budget. The other two requests, for adult intake and juvenile intake, are not funded at this time.

In addition, technological and human resource limitations at the administrative level inhibit the ability of the Department to thoroughly explore/expand alternative revenue streams and/or monitor and evaluate efficiencies of existing programs and services. A supplemental budget request was submitted to support the allocation of an Administrative Analyst position for the Department to assist with these endeavors.

The total of the unfunded requests is \$148,947.

2005-06 Accomplishments

1. Diverted 332 juvenile offenders from further intrusion into the juvenile justice system.
2. Completed integrated information technology (IT) needs assessment across the department and began implementation of an IT upgrade plan.
3. Completed full implementation of the Healthy Returns Initiative program.
4. Continued implementation of Strategic Planning Goals to build organizational capacity, expand partnerships with other agencies and the community, and staff development.
5. Implemented Cultural Diversity Plan.

2006-07 Objectives

1. To complete IT hardware/software upgrades, conversion/consolidation of existing departmental databases, and staff training related to the introduction of new technologies.
2. To develop and monitor existing and potential funding mechanisms and revenue streams in order to maintain program and service delivery levels.

3. To reduce the number of children in residential and/or foster care.

4. To continue development, training and embedding of appropriate evidence based practices within adult and juvenile services.



Juvenile Detention Services

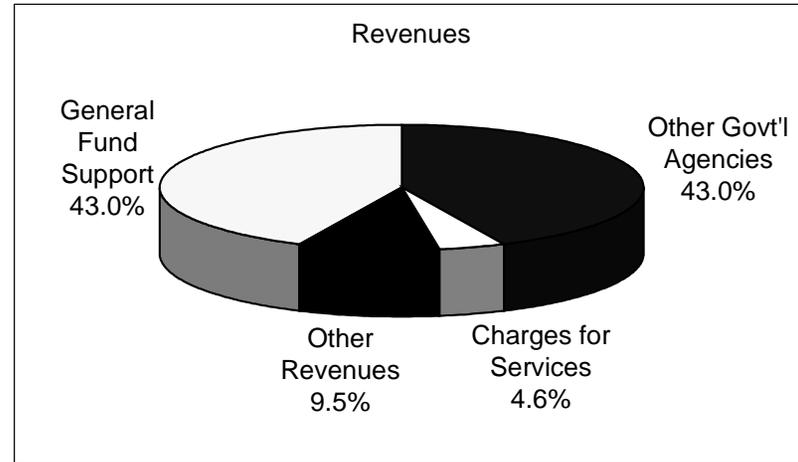
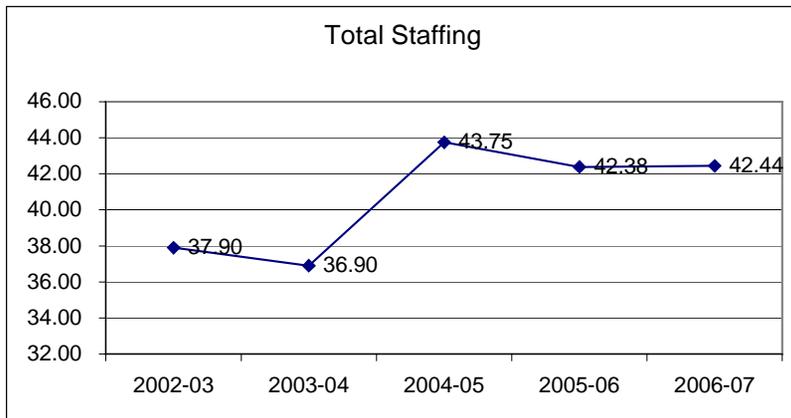
Doug Rasines, Chief Probation Officer

1100 - General Fund	2002-03 Actual	2003-04 Actual	2004-05 Actual	2005-06 Actual	2006-07 Request	2006-07 Adopted	Increase/ (Decrease)
Revenues							
Other Govt'l Agencies	\$1,147,148	\$1,163,525	\$966,755	\$1,087,438	\$1,086,108	\$1,086,108	(\$1,330)
Charges for Services	37,479	59,509	64,579	85,911	115,150	115,150	29,239
Other Revenues	73,248	127,956	244,427	175,280	68,574	240,779	65,499
General Fund Support	1,145,920	900,170	1,081,476	1,108,185	1,258,001	1,085,796	(22,389)
Total Revenues	\$2,403,795	\$2,251,160	\$2,357,236	\$2,456,814	\$2,527,833	\$2,527,833	\$71,019
Expenditures							
Salaries & Benefits	\$1,622,072	\$1,700,654	\$1,910,430	\$1,949,640	\$2,022,023	\$2,022,023	\$72,383
Supplies & Services	767,299	531,509	434,241	495,407	492,396	492,396	(3,011)
Other Charges	14,424	11,344	12,565	11,766	13,414	13,414	1,648
Fixed Assets	0	7,652	0	0	0	0	0
Total Expenditures	\$2,403,795	\$2,251,160	\$2,357,236	\$2,456,814	\$2,527,833	\$2,527,833	\$71,019
<hr/>							
Allocated Positions	37.90	36.90	36.90	36.90	36.90	36.90	0.00
Temporary (FTE)	N/A	N/A	6.85	5.48	5.54	5.54	0.06
Total Staffing	37.90	36.90	43.75	42.38	42.44	42.44	0.06

Purpose

Juvenile Hall is mandated under Section 850 of the Welfare and Institutions Code. The primary mission of the Juvenile Hall is to provide for the safe and secure confinement of juvenile offenders determined to be a serious threat of harm to themselves and/or the community. Section 210 of the Welfare and Institutions Code mandates minimum standards for Juvenile Hall and is defined in Titles 15 and 24, California Code of Regulations.

The Regional Facility is an 18-bed secure treatment facility authorized pursuant to Chapter 2.5, Article 6, Section 5695-5697.5 of the Welfare and Institutions Code. The facility is specifically designed and operated to serve those juvenile wards of the Court with serious emotional problems and a history of treatment/placement failures in open residential settings.



Major Budget Changes

Salaries & Employee Benefits

- \$82,150 General salary and benefit adjustment.
- (\$36,485) Additional savings generated by holding Legal Office Assistant position vacant.
- \$5,000 Increase in overtime expense.

Services & Supplies

- (\$39,598) Decrease in structure maintenance expense; FY 2005-06 budget included one-time expenditures for Juvenile Hall projects.

Revenues

- \$109,882 New Juvenile Probation and Camps funding, replacement for Temporary Assistance to Needy Families funding.
- \$77,706 Increase in Proposition 172 funding.
- (\$60,000) Elimination of SB 163 funding.
- (\$36,204) Decrease in transfer from trust.
- (\$25,000) Elimination of Targeted Case Management.
- (\$23,883) Decrease in Crime Prevention Act funding.

Program Discussion

Between the Juvenile Hall and the Regional Facility, the Detention Services Division provides a total of 44 secure beds for juvenile wards of the Court ranging in age from eight to eighteen. Detention Services provides a wide array of programming including but not limited to education, health/mental health care, substance abuse services, recreation, independent living skills, supervision, case management, counseling, and professional staff who act as parental role models.

Several significant funding changes are occurring in this program this year. The former Probation Temporary Assistance to Needy Families (TANF) block grant revenue is

County of Humboldt 2006-07 Budget

now a State General Fund allocation called Juvenile Probation & Camps Funding (JPCF). This funding supports case planning and case management activities performed by correctional officer staff. Whereas TANF was previously an annual allocation that was relatively stable, the inclusion of JPCF as a State general fund appropriation makes it a less reliable funding stream for detention services.

There was an increase of State Aid to Public Safety (Proposition 172) revenue to Juvenile Hall which helped to offset a projected reduction (\$25,000) in Targeted Case Management (TCM) revenue. There is a decrease of \$23,883 in the state Juvenile Justice Crime Prevention Act funding allocation being used to help offset costs in the Regional Facility. For FY 2006-07 there is also a reduction in the Juvenile Accountability Block Grant (JABG) funding which helps support the cost of a supervising juvenile corrections officer position. As a result of this JABG reduction, Humboldt County's allocation of the Federal block grant funding will fall below the minimum threshold for receiving these funds in the future. Lastly, there is a decrease in SB 163 funding from "pooled savings" that provided \$60,000 last fiscal year to offset operational costs of the Regional Facility, as this funding is needed to support the increased cost of the mental health treatment program in FY 2006-07.

A strategy for funding the cost of replacing the mesh screens in the Juvenile Hall sleeping rooms, as per the recommendation from the Corrections Standards Authority biennial inspection, will need to be developed as soon as possible. The Department is working closely with Public Works in preparing design and cost estimates for the required retrofit.

1100-234 Juvenile Hall

The primary function of Juvenile Hall is to provide detention and short-term care for delinquent youth within specified provisions of the California Welfare and Institutions Code. Juvenile Hall is designed to house juvenile offenders in a safe, humane environment while maintaining the level of security necessary to prevent escape and assault or intimidation by other juveniles. Juvenile Hall has limited control over who is admitted and no control over length of stay. Once a minor is admitted to juvenile hall he/she has certain fundamental rights regarding conditions of confinement. Juvenile Hall, unlike many County agencies, has the responsibility for the 24-hour custodial care of detained minors and has no discretion with regard to providing mandated services and supervision. The total budget for FY 2006-07 for Juvenile Hall is \$1,505,485, an increase of \$24,282 from its prior year level.

1100-254 Regional Facility

The Northern California Regional Facility is a locked six-month program for juvenile wards ages 12 to 18 with co-occurring mental health disorders. The Regional Facility provides an intensive, evidence-based mental health treatment program, Aggression Replacement Training, which includes but is not limited to: medication support, individual and family counseling, cognitive thinking skills, social skills, moral reasoning, and anger management. The total budget for FY 2006-07 for the Regional Facility is \$1,022,348, a decrease of \$8,806 from its FY 2005-06 level of \$1,031,154.

Unfunded Supplemental/Restoration Funding Requests

Since FY 2000-01, the Probation Department has been able to utilize the transfer of funds from the Probation Trust Fund as a revenue backfill for several years of reductions in the County General Fund contribution supporting both the Juvenile Hall and Regional Facility. The on-going reliance upon trust funds, however, has created a “structural deficit” within these budget units. What were envisioned as “one-time” transfers from trust are now required to be budgeted as on-going annual revenue in order for the Department to meet its General Fund net target budget. Over the years the trust fund balance has continued to diminish to the extent that it can no longer be utilized to support annual budget shortfalls. This, coupled with reductions in Federal revenues, will lead to a crossroads in terms of budgeting priorities for FY 2007-08. Juvenile Hall is a mandated County service; however, the Regional Facility New Horizons program is being operated on a discretionary basis as a means of stabilizing/reducing Probation’s residential/foster care costs and improving successful outcomes for the County’s most at risk-youth, while at the same time reducing the potential for Juvenile Hall overcrowding. Unless alternative funding can be identified and secured, and/or the annual County General Fund allocation increased, a decision will likely need to be made at mid-year as to the ability of the Department to continue to operate the Regional Facility as currently structured. Therefore, the Department submitted supplemental requests totaling \$172,205 for the restoration of General Fund contributions to both Juvenile Hall and the Regional Facility. Because the Department’s trust funds are

able to provide support during FY 2006-07 and there may be legislative opportunities for additional funds for juvenile programs, no funding was included in the adopted budget for this purpose.

2005-06 Accomplishments

1. Obtained a successful California Corrections Standards Authority (CSA) inspection of the Northern California Regional Facility and Humboldt County Juvenile Hall in January 2006. The only area of non-compliance was corrected by installing new lock cylinders in the Juvenile Hall sleeping rooms as noted in the CSA inspection report.
2. Obtained the services of a reliable security expert to repair and maintain our facilities' security systems.
3. Had two out-of-County minors participate in the Regional Facility New Horizons Program, with a third minor being screened for acceptance.

4. Reduced laundry costs by laundering facility bedding after purchasing a commercial washer/dryer rather than paying the Humboldt County Correctional Facility for their services.

2006-07 Objectives

1. To secure a minimum of three out-of-county commitments to the Northern California Regional Facility New Horizons Program.
2. To screen and assess 100% of the minors admitted to Juvenile Hall using a validated, evidenced-based assessment tool.
3. To not crowd Juvenile Hall by maintaining the daily population at or below the Corrections Standards Authority Rated Capacity of twenty-six (26) minors.